

STATE OF ILLINOIS

INTERNAL AUDIT ADVISORY BOARD

Web Address: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://SIAAB.AUDITS.UILLINOIS.EDU)

MINUTES

SIAAB Fall Government Auditing Conference on October 22-23, 2019
SIAAB Roundtables on October 24, 2019

ROLL CALL

Members Present at the Northfield Center in Springfield:

Julie Zemaitis (Chair), University of Illinois
Jay Wagner (Vice Chair), Office of the Attorney General
Natalie Covello, Chicago State University
Rex Crossland, Department of Employment Security
Amy DeWeese, Department of Human Services
Stell Mallios, Office of the Secretary of State
Leighann Manning, Office of the Treasurer
Jamie Nardulli, Department of Healthcare and Family Services
Brent Nolen, Illinois State Police
Gary Shadid, Office of the Comptroller

Members Absent:

Jack Rakers, Central Management Services

MINUTES

The SIAAB Fall Government Auditing Conference was held on October 22-23, 2019, the SIAAB University Roundtable was held October 23, 2019, and the other SIAAB Roundtables were held on October 24, 2019. All were held at the Northfield Center, 3280 Northfield Drive, Springfield, IL. The Conference was scheduled, in accordance with the Fiscal Control and Internal Auditing Act requirements, to provide internal audit training only and accordingly, there were no Board actions taken, nor were there any motions taken or voted upon during the training event. 140 people attended at least one day of the Conference and 83 people attended a Roundtable.

OCTOBER 22, 2019

Registration for the Conference began at 7:45 am. Opening remarks were made at 8:30 am by Ms. Julie Zemaitis, SIAAB Chair. The following presentations were made:

- Opening Keynote followed by a lecture on Agile Auditing in Government were presented by Raven Catlin, Chief Executive Officer, Raven Global Training, LLC.
- Public Integrity by Lisa Hennelly and Jonas Harger, Assistant Attorneys General in the Public Integrity Bureau of the Office of the Illinois Attorney General

- Grants Accountability and Transparency Act (GATA) Update by Carol Kraus, Director of Grant Accountability & Transparency of the Governor's Office of Management and Budget.
- Insights into Occupational Fraud by Dr. Carol Jessup, Chair of Accountancy, at the University of Illinois at Springfield.

The first day of the Conference adjourned at 4:20 pm.

OCTOBER 23, 2019

Registration for the 2nd day of the Conference began at 7:45 am. Opening remarks were made at 8:30 am by Mr. Jay Wagner, SIAAB Vice Chair and Conference Coordinator. The following presentations were made:

- SOC Reports: Demystifying Service Organization Responsibilities by Lisa Stinson and Kelly Bucci, Public Sector Leaders Unit of Crowe, LLP
- Project Management, Program Management, and Governance: A Foundational Control for Project Audits by Amy Delcomyn, Executive Director of Enterprise Project Management, from the Department of Innovation and Technology (DoIT)
- A Hacker's Perspective, by Jeff Thompson, Certified Ethical Hacker (CEH), of the Department of Innovation and Technology (DoIT)
- Principles of Internal Control in the Public Sector by Mary Peck, Director of Internal Audit for the New York State Energy Research and Development Authority, and District Advisor of the Institute of Internal Auditors (IIA) Northeast Region
- Closing Keynote by Benito Ybarra, Chair of the Institute of Internal Auditors North American Board, and Chief Audit and Compliance Officer of the Texas Department of Transportation

A University Auditors' Roundtable Discussion, moderated by Julie Zemaitis, Executive Director of University Audits, ran concurrently with the last two morning sessions by the Department of Innovation and Technology (DoIT).

The second and final day of the Conference adjourned at 4:00 pm.

OCTOBER 24, 2019

Registration for the Roundtables began at 7:45 am.

Concurrently the following roundtable events were held:

- Chief Internal Auditor Roundtable, moderated by Jay Wagner (SIAAB Vice Chair), Chief Internal Auditor for the Illinois Attorney General.
- IT Auditor Roundtable, moderated by Tracy Allen, Auditor with the Illinois Gaming Board.
- Staff Auditor Roundtable, moderated by former Chief Internal Auditor Tad Huskey, Chief Fiscal Officer for the Office of the Attorney General

The Roundtables adjourned at 11:50 am.